PTO/SB/21 (09-04)

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First Named Inventor PHAN, LOC X.  At Unit 3732  Examiner Name Unassigned  After Allowance Communication to TC Appeal Communication  Date Troising Parts Interest Training	(IDE	Application Number	10/660,857			
At Unit 3732   Examiner Name   Unassigned	O' F TRANSMITTAL	Filing Date	September 12, 2003			
Attorney Docket Number	FORM €\	First Named Inventor	PHAN, LOC X.			
### After Allowance Communication to TC	AUG 2 2 DOWN E	Art Unit	3732			
Signature   Pages in This Submission   Check all that apply		Examiner Name	Unassigned			
Fee Attached		Attorney Docket Number	018563-001140US			
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Fee Attached	<del> </del>	ENCLOSURES (Check all that a				
Amendment/Reply		$\sqcap$	Appeal Communication to Board			
Affidavits/declaration(s)  Affidavits/declaration(s)  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Supplemental Information Disclosure Statement  Converge of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  I Landscape Table on CD  Remarks  The Commissioner is authorized to charge any additional fees to Deposit  Account 20-1430.  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Name  Townsertd and Townsend and Crew LLP  Signature  Printed name  James M Heslin  Date  August 19, 2005  Reg. No. 29,541  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Signature  August 184  August 184  August 185  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	Amendment/Reply		Appeal Communication to TC			
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Extension of Time Request  Express Abandonment Request  Supplemental Information Disclosure Statement  Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Name Townsend and Townsend and Crew LLP  Signature  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Cither Enclosure(s) (please identify below): Return Postcard PTO/SB/08A & PTO	Affidavits/declaration(s)	Power of Attorney, Revocation	Status Letter			
Supplemental Information Disclosure   CD, Number of CD(s)   154 Reference Copies	Extension of Time Request		Other Enclosure(s) (please identify			
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envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Signature  Auutler O Breen.	CERTIFICATE OF TRANSMISSION/MAILING					
punifer O Brien	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
punifer O Brien	Signature					
	Jennife	1 Brien				
	V / 1/2 - 1/2 .		Date August <u>19</u> , 2005			

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TOWNSEND and TOWNSEND and CREW LLP

Jennifer O'Brien

Attorney Docket No.: 018563-001140US Client Reference No.: AT-00014.3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LOC X. PHAN et al.

Application No.: 10/660,857

Filed: September 12, 2003

For: ATTACHMENT DEVICES AND

METHODS FOR A DENTAL

**APPLIANCE** 

Customer No.: 46718

Examiner: Unassigned

Art Unit: 3732

SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 1287 OG 163] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

LOC X. PHAN et al.

Application No.: 10/660,857

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor

San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

JMH:tfw 60561019 v1



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 11

	Complete if Known
Application Number	10/660,857
Filing Date	September 12, 2003
First Named Inventor	PHAN, LOC X.
Art Unit	3732
Examiner Name	Unassigned
Attorney Docket Number	018563-001140US

		Document Number				
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	3,407,500	10-29-1968	Kesling		
	2	3,600,808	08-24-1971	Reeve		
	3	3,683,502	08-15-1972	Wallshein.		
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	5	4,253,828	03-03-1981	Coles et al.		
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	28	5,562,448	10-08-1996	Mushabac		
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	32	5,725,376	03-10-1998	Poirier		
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	36	5,800,174	09-01-1998	Andersson		
	37	5,823,778	10-20-1998	Schmitt et.al.		
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	40	5,866,058	02-02-1999	Batchelder et al.	·	
	41	5,879,158	03-09-1999	Doyle et al.	<del></del>	
Examiner Signature	<del>- ii</del> -	0,0.0,100	1 00 00 1000	Date Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 

Applicant's unique citation designation number (optional). 

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Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 

Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO		Complete if Known
	Application Number	10/660,857
INFORMATION DISCLOSURE	Filing Date	September 12, 2003
STATEMENT BY APPLICANT	First Named Inventor	PHAN, LOC X.
	Art Unit	3732
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 2 of 11	Attorney Docket Number	018563-001140US

			U.S. PATENT DO	CUMENTS+	
Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	42	5,880,961	03-09-1999	Crump	
	43	5,880,962	03-09-1999	Andersson et al.	
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	46	6,015,289	01-18-2000	Andreiko et al.	
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				1	

	FOREIGN PATENT DOCUMENTS							
Evaminas	Cito	Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines,		
Examiner Cite Initials* No.1		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear	T <sup>8</sup>	
	66	AU	517102	07-09-1981	Hito Suyehiro			
	67	AU	3031677	05-10-1979	Hito Suyehiro			
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	72	EP	0091876	10-19-1983	Duret	•	Ø	
	73	EP	0299490	01-18-1989	Steinbichler		Ø	
	74	EP	0376873	07-04-1990	Shafir			
	75	EP	0490848	06-17-1992	Nobelpharma AB.			

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 

Applicant's unique citation designation number (optional). 

Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 

Standard ST.3). 

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

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Substitu	te for form 1449A/PT	0		Complete if Known			
				Application Number	10/660,857		
INFO	DRMATION	DIS	SCLOSURE	Filing Date	September 12, 2003		
STA	TEMENT E	BY A	<b>IPPLICANT</b>	First Named Inventor PHAN, LOC X.			
				Art Unit	3732		
(use as many sheets as necessary)		Examiner Name	Unassigned				
Sheet	3	of	11	Attorney Docket Number	018563-001140US		

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	76	EP	0541500	05-12-1993	Nobelpharma AB			
	77	EP	0667753	08-23-1995	Ormco Corp			
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	80	ES	463897	01-01-1980	Hito Suyehiro			
	81	FR	2369828	06-02-1978	Hito Suyehiro		⊠	
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	83	GB	1550777	08-22-1979	Hito Suyehiro			
	84	JP	04-028359	01-30-1992	Mitsubishi Petrochemical Co		⊠	
	85	JP	53-058191	05-25-1978	Yoshii		Ø	
	86	JP	08-508174	09-03-1996	Ormco Corp		⊠	
,	87	wo	90/08512	08-09-1990	Dolphin Imaging System		0	
	88	WO.	91/04713	04-18-1991	Jourda et.al.			
	89	WO	94/10935	05-26-1994	Ormco Corp			
	90	W.O	98/32394	07-30-1998	Hultgren Bruce Willard			
	91	WO	98/44865	10-15-1998	Nobel Biocare AB			

Examiner	Date	· ·
Signature	Considered	
3.9	 	

Substitute for form 1449B/PTO Complete if Known Application Number 10/660,857 INFORMATION DISCLOSURE Filing Date September 12, 2003 STATEMENT BY APPLICANT First Named Inventor PHAN, LOC X. 3732 Art Unit (use as many sheets as necessary) Examiner Name Unassigned Sheet 11 Attorney Docket Number 018563-001140US

	•	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	92	ALEXANDER et al., The DigiGraph Work Station Part 2, Clinical Management, JCO (July 1990), pp. 402-407.	
	93	ALTSCHULER et al, Measuring Surfaces Space-Coded by a Laser-Projected Dot Matrix, SPIE Imaging Applications for Automated Industrial Inspection and Assembly, Vol. 182 (1979), p. 187-191.	
	94	ALTSCHULER et al., "Analysis of 3-D Data for Comparative 3-D Serial Growth Pattern Studies of Oral-Facial Structures, "IADR Abstracts, Program and Abstracts of Papers, 57th General Session, IADR Annual Session, March 29, 1979 - April 1, 1979, New Orleans Marriot, Journal of Dental Research, Vol. 58, January. 1979, Special Issue A, p. 221.	
	95	ALTSCHULER et al., Laser Electro-Optic System for Rapid Three-Dimensional (3D) Topographic Mapping of Surfaces, <i>Optical Engineering</i> , Vol. 20, No. 6, (1981), pp. 953-961.	
	96	ALTSCHULER, 3D Mapping of Maxillo-Facial Prosthesis, AADR Abstract #607,1980, 2 pages total	
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STATEMENT BY APPLICANT	First Named Inventor	PHAN, LOC X.
	Art Unit	3732
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 5 of 11	Attorney Docket Number	018563-001140US

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